



AGM NOTICE

Date: August 7th, 2020

Dear Member,

Hope you and your family are healthy and taking due precautions to stay safe from the Covid-19 pandemic.

The Pandemic has made it necessary for organizations to adjust to a new normal and evolve alternate strategies to ensure that work continues in a safer environment. Taking cognizance of the risks associated with in-person gatherings, the Ministry of Corporate Affairs (MCA), Government of India, has permitted Annual General Meetings to be held through video conferencing (VC) / other audio-visual means (OAVM).

Accordingly, your Company has decided to hold its Annual General Meeting (AGM) through virtual mode this year. We see this as an opportunity to have participation of our members from across the world making this a historic moment for the Company.

I on behalf of Indian Association of Investment Professionals (IAIP) invite you to attend the company's Fifteenth AGM to be held virtually, starting at 4.30 PM (IST) on Monday, September 7th, 2020.

The Company is also pleased to extend facility for e-voting (remote e-voting and during the AGM) through electronic means to its Members in respect of the business to be transacted at the AGM. The Notice of the meeting containing the business to be transacted along with instructions for e-voting is enclosed herewith.

Yours Truly,

--Sd--

Navneet Munot, CFA
Chairman & Director
DIN: 05247228

Enclosures:

1. Notice of the Fifteenth Annual General Meeting (AGM)
2. Instructions for remote e-voting





AGM NOTICE

NOTICE is hereby given that the Fifteenth Annual General Meeting ("**AGM**") of the Members of Indian Association of Investment Professionals ("**the Company**") will be held on Monday, September 7th, 2020 at 04:30 PM IST through electronic mode [videoconference ("**VC**") or other audio visual means ("**OAVM**")]**to transact the following business:**

ORDINARY BUSINESS:

Item No. 1: Adoption of Audited Financial Statements

Adoption of Audited Financial Statements
To receive, consider and adopt the Audited Financial Statements of the Company for the Financial Year ended March 31st, 2020 and the Reports of the Board of Directors and the Auditors thereon.

SPECIAL BUSINESS:

Appointment of Directors

The members are informed that there are two vacancies at the Board of Directors of the Company. These are due to the completion of the first term (i.e. of 3 years) of directorship of Mr. Jitendra Chawla and Mr. Sampath Reddy. Both of them are eligible for reappointment as Director for the second term of 3 years as per the provisions of the Articles of Association of the Company ("AoA"). Mr. Jitendra Chawla is seeking for reappointment while Mr. Sampath Reddy is not seeking for re-appointment.

At the last, Fourteenth Annual General Meeting, the members had passed a resolution for amendment of the AoA wherein one of the amendments was to reserve a minimum of two seats for Women Directors on the Board of the Company. Currently, the Board has one Woman Director, Ms. Ritika Mankar. Hence, one of the aforesaid vacancies is to be mandatorily filled by a Woman Member. Both the vacancies were open for all the Regular members of the Company. As per the process set out in the AoA, the Nomination Committee (formed as per the provisions of the AoA of the Company) invited applications from the regular members via an email dated May 15, 2020. The Nomination Committee scrutinized all the applications received and recommended vide their email dated June 15, 2020 the candidature of the following applicants:

Mr. Jitendra Chawla

Ms. Meera Siva

Kindly refer to the Explanatory Statement for the profiles of Mr. Jitendra Chawla and Ms. Meera Siva.

It is to be noted that the recommendations made by the Nomination Committee are not binding on regular members of the Company. The right of selection lies with the regular members at the AGM of the Company and the regular members are free to cast their vote as they may deem fit and are not obliged to act as per the recommendations of the Nomination Committee.

Before the issuance of this notice, certain regular members have voluntarily withdrawn their application for candidature as Director of the Company. Hence for the two

vacant positions for the Directorship on the Board of the Company, following are all the candidates who have applied (and not withdrawn their candidature) for the position of Directorship. **The members are requested to select the best two (2) candidates for the said vacant positions.**

Item No. 2: Appointment of Mr. Jitendra Chawla

To consider and if thought fit, to pass the following resolution as an Ordinary Resolution.

"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014, and Article 9 of Articles of Association of the Company, Mr. Jitendra Chawla (DIN: 07950573) be and is hereby re appointed as Director of the Company who shall hold office for a term of three years from the date of this AGM;

RESOLVED FURTHER THAT any of the Director be and are hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution."

Item No. 3: Appointment of Mr. Kapil Mehra as Director

To consider and if thought fit, to pass the following resolution as an Ordinary Resolution.

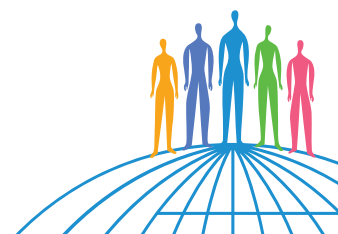
"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014 and Article 9 of Articles of Association of the Company, Mr. Kapil Mehra (DIN: 08802315) be and is hereby appointed as Director of the Company who shall hold office for a term of three years from the date of this AGM with eligibility for reappointment for another term of three years;

RESOLVED FURTHER THAT any of the Director be and are hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution."

Item No. 4: Appointment of Ms. Meera Siva as Director

To consider and if thought fit, to pass the following resolution as an Ordinary Resolution.

"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any,





AGM NOTICE

of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014 and Article 9 of Articles of Association of the Company, Ms. Meera Siva (DIN: 07321813) be and is hereby appointed as Director of the Company who shall hold office for a term of three years from the date of this AGM with eligibility for reappointment for another term of three years;

RESOLVED FURTHER THAT any of the Director be and are hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution."

Item No. 5: Appointment of Mr. Namdev Babu Chougule as Director

To consider and if thought fit, to pass the following resolution as an Ordinary Resolution.

"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014 and Article 9 of Articles of Association of the Company, Mr. Namdev Babu Chougule (DIN: 08802296) be and is hereby appointed as Director of the Company who shall hold office for a term of three years from the date of this AGM with eligibility for reappointment for another term of three years;

RESOLVED FURTHER THAT any of the Director be and are hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution."

Item No. 6: Appointment of Mr. Subrahmanyam Venkata Oruganti as Director

To consider and if thought fit, to pass the following resolution as an Ordinary Resolution.

"RESOLVED THAT pursuant to the provisions of the Section 152 and other applicable provisions, if any, of the Companies Act, 2013 ("the Act") and the Companies (Appointment and Qualification of Directors) Rules, 2014 and Article 9 of Articles of Association of the Company, Mr. Subrahmanyam Venkata Oruganti (DIN: 08802302) be and is hereby appointed as Director of the Company who shall hold office for a term of three years from the date of this AGM with eligibility for reappointment for another term of three years;

RESOLVED FURTHER THAT any of the Director be and are hereby severally authorized to file necessary forms with Ministry of Corporate Affairs, update necessary registers, settle any question that may arise and to do all such acts and deeds that may be required to give effect to the foregoing resolution."

By Order of the Board of Directors
For **Indian Association of Investment Professionals**

--Sd--

Chetan Shah

Director

(DIN: 06381468)

Registered Office: 7th Floor, 702, A Wing, C/66,
G Block, One BKC ,Opp. Bank of Baroda,
Bandra (East), Mumbai -400051

Date: August 7th, 2020

Place: Mumbai

NOTES:

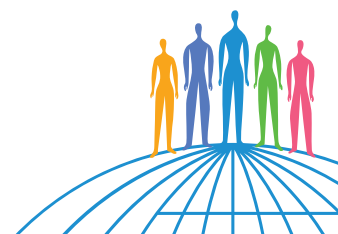
1. Explanatory Statement: An Explanatory Statement pursuant to Section 102 of the Companies Act, 2013, in respect of the Special Business to be transacted at the AGM is annexed hereto and forms part of this Notice as **Annexure 1**.

2. Procedure for Attending the AGM Through Video Conference (VC) or Other Audio Visual Means (OAVM): In view of the continuing Covid-19 pandemic, the Ministry of Corporate Affairs ("MCA") has vide its circular dated May 5th, 2020 read with circulars dated April 8th, 2020 and April 13th, 2020 (collectively referred to as "MCA Circulars") permitted the holding of the Annual General Meeting ("AGM") through VC / OAVM, without the physical presence of the Members at a common venue. In compliance with the provisions of the Act and MCA Circulars, the AGM of the Company is being held through VC / OAVM. The deemed venue for the AGM shall be the registered office of the Company.

(i) Members are requested to follow the instructions given below to attend and view the live proceedings of 15th AGM:

- Log on the CDSL website at <https://www.evoting.com> using your remote e-voting credentials. The link for VC/OAVM will be available in members login where the EVSN of Company will be displayed. For detailed procedure, kindly refer **Annexure 2** to this Notice.

- Members are permitted to join the AGM through the VC/OAVM mode, 15 minutes before the scheduled time of commencement of AGM and 15 minutes thereafter, by following the procedure mentioned in **Annexure 2** of this Notice.





AGM NOTICE

- Members who do not have the User ID and Password for e-voting or have forgotten the User ID and Password may retrieve the same by following the instructions provided under Annexure-2 to this Notice. Further, Members can also use the OTP based login for logging into the e-voting platform of CDSL.
- Members are encouraged to join the Meeting through Laptop/ desktop for better experience and use the Internet with a good speed to avoid any disturbance during the AGM. Participants connecting from Mobile Devices or Tablets or through Laptop connecting via Mobile Hotspot may experience Audio/Video loss due to fluctuation in their respective network. It is therefore recommended to use Stable Wi-Fi or LAN Connection to mitigate any kind of aforesaid glitches.
 - (ii) The facility of participation at the AGM through VC/OAVM will be made available to all the members whose name is appearing in the register of members as on cut-off date. This will not include Directors, the Chairpersons of the Audit Committee, Statutory Auditors, etc. who are allowed to attend the AGM without any restrictions pertaining to joining the AGM on a first come first serve basis.
 - (iii) The attendance of the Members attending the AGM through VC / OAVM will be counted for the purpose of ascertaining the quorum under Section 103 of the Act.
 - (iv) Since this AGM is being held pursuant to the MCA Circulars through VC / OAVM, physical attendance of Members has been dispensed with. Accordingly, the facility for appointment of proxies by the Members will not be available for the AGM. Therefore, the Proxy Form as well as the Attendance Slip are not annexed to this Notice.
 - (v) The transcript of the meeting will be made available on the Company's website www.cfasocietyindia.org as soon as it is available.

3. Electronic Dissemination of Notice & Annual Report:

In compliance with the aforesaid requirements of the MCA Circulars, electronic copy of the Notice along with the Annual Report for the financial year ended March 31st, 2020 consisting of financial statements including Director's Report, Auditors' Report and other documents required to be attached therewith (collectively referred to as 'Notice') have been sent to members whose e- mail ids are registered with the Company through electronic means and no physical Notice is being sent to any Member. Members may also note that the Notice of the AGM is also available on the Company's website i.e. www.cfasocietyindia.org and on the website of Central Depository Services (India) Limited ("CDSL") i.e. www.evotingindia.com, appointed by the Company

as the authorized agency to provide voting facility by electronic means.

4. In terms of the MCA Circulars and in the view of the Board of Directors, all matters included in this Notice are unavoidable and hence are proposed to be approved at the AGM.

5. Route Map: Since the AGM will be held through VC/OAVM, the route map is not annexed to the Notice.

6. Cut-off Date: This Notice is being sent to all the Members of the Company, whose names appear in the Register of Members as on August 13th, 2020 (cut-off date). Only Member (i.e. regular members) as on the cut-off date is entitled to exercise his vote. The Company shall send the Notice to the registered email ids of such members enabling them to participate in the meeting and cast their votes.

7. Members' Record Updation: Members are requested to update their current email ID by login at <https://membership.cfainstitute.org/managementmembership>

8. Member Queries with Respect to Annual Report or Businesses as Stated in the Notice of this AGM:

- (i) For conducting the AGM proceedings seamlessly, members who wish to receive information with respect to Company's Annual Report for FY 2019-20 or have queries with regard to the financial statements and the matters to be placed at the 15th AGM may write at this link www.surveymonkey.com. The same can be submitted by 5:00 pm (IST) of August 23rd, 2020.
- (ii) Members who wish to ask questions or express their views at the AGM may indicate the same in the afore shared form itself.
- (iii) In case of any queries during the meeting, Members may utilize the 'Q&A' option available in the meeting room, and post questions along with their details including name, membership id and registered e-mail ID to enable the Company to respond to their queries either at the meeting, if time permits, or subsequent to the meeting via email.

Members may note that the Company reserves the right to restrict the number of questions and number of speakers during the AGM, depending upon availability of time and for conducting the proceedings of the meeting smoothly.

9. E-voting: In terms of the aforesaid Circulars, the businesses set out in the Notice will be transacted by the members only through remote e-voting or through the e-voting system provided during the meeting while participating through VC facility.





AGM NOTICE

- (i) In accordance with the provisions of Section 108 and other applicable provisions, if any, of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 and amendments thereto, the Company has engaged the services of CDSL to provide the facility of voting through electronic means to the members to enable them to cast their votes electronically in respect of all the businesses to be transacted at the aforesaid Meeting.
- (ii) The Company has appointed Ms. Reena Virwadia, Practicing Company Secretaries (Membership No. A44804) as the Scrutinizer for scrutinizing the entire e-voting process i.e. remote e-voting and e-voting during the AGM to ensure that the process is carried out in a fair and transparent manner.
- (iii) Members attending the AGM through VC / OAVM should note that those who are entitled to vote but have not exercised their right to vote by remote e-voting, may vote during the AGM through e-voting for all businesses specified in the Notice. The Members who have exercised their right to vote by remote e-voting may attend the AGM but cannot vote during the AGM.
- (iv) Voting rights of the members (for voting through remote e-voting or e-voting system provide in the Meeting itself shall be one member – one vote as on the cut-off date i.e. August 13th, 2020.
- (v) A Member can opt for only one mode of voting i.e. either through Remote e-voting or voting at the AGM. If a shareholder casts votes by both modes, then voting done through Remote e-voting shall prevail.
- (vi) The remote e-voting period begins on September 1st, 2020 (begins 9:00 AM IST) and ends on September 6th, 2020 (at 5:00 PM IST). The remote e-voting module shall be disabled by CDSL for voting thereafter i.e. beyond 05:00 PM IST of September 6th, 2020 For e-voting, please read carefully the "Instructions for e-voting" enumerated in this Notice as **Annexure 2**.
- (vii) The results of remote e-voting and e- voting system provided in the Meeting shall be aggregated and declared on or after the Meeting of the Company by the Chairman or by any other person duly authorised in this regard.
- (viii) The Scrutinizer, after completion of scrutiny, will submit a report to the Chairperson or any Director of the Company as may be authorized in writing in this regard, by September 8th, 2020
- (ix) The results declared along with the report of the scrutinizer shall be placed on the Company's website i.e. www.cfasocietyindia.org and on the website of CDSL i.e. www.evotingindia.com immediately after the result is declared by the Chairman. Due to the current lockdown situation in the wake of COVID 19 pandemic, the result shall not be displayed on the Notice Board of the Company at its Registered Office.
- (x) If the proposed resolutions are assented by requisite majority, it shall be deemed to have been passed on the date of the AGM i.e. on September 7th, 2020

10. Inspection of documents: The requisite copy of Register(s) and other relevant documents shall be made available only in electronic form for inspection during the Meeting through VC which can be accessed at www.cfasocietyindia.org

11. Webcast: The Company is providing the facility of live webcast of proceedings of the AGM. Members who are entitled to participate in the AGM can view the proceedings of AGM by logging on the website of CDSL at www.evotingindia.com using their login credentials.

Annexure – 1: Explanatory Statement pursuant to Section 102 of the Companies Act, 2013

This explanatory statement sets out all material facts and information relating to the Special Business mentioned in the accompanying Notice for convening the Annual General Meeting of the Company.

Item No. 2: Appointment of Mr. Jitendra Chawla as Director

Mr. Jitendra Chawla (aged 43 years) was awarded the CFA Charter (Membership No. 2239505) in 2009. He holds a Post Graduate Diploma in Business Management. Currently, he is working as a Vice-President at Deutsche Bank and is entitled with responsibility for Investment Solutions. He has over 20 years of experience in the investment industry. He has been a member with CFA Institute and the Company for more than 5 consecutive years. He has participated as a volunteer with the Company in various roles since 2011 and also is a representative on MarComm Council of CFA Institute. He is responsible for ideating and organizing one of the most sought after "Value Investing Pioneers' Summit" held in New Delhi. Under his leadership the company has improved its presence on social media and started a new website.

Mr. Jitendra Chawla is not in-eligible to be appointed as a Director in terms of Section 164 of the Act. A declaration to this effect and his consent to act as Director has been received from him. The Board recommends his appointment, if the Members deem fit.





AGM NOTICE

Mr. Jitendra Chawla is not related to any Director of the Company. None of the Directors or their relatives are interested or concerned, financially or otherwise, in the resolution set out at Item No. 2 except to the extent of their membership in the Company.

Item No. 3: Appointment of Mr. Kapil Mehra as Director

Mr. Kapil Mehra (aged 40 years) has been awarded CFA Charter (Membership No. 6139561) in year 2013. He holds a degree of Post Graduate Diploma in Business Management. Currently, he is working as an Associate Director at Client Associates and is entitled with responsibility for Multi Family Office Management and Portfolio & Risk Management. Prior to this he has held important positions at HDFC Bank and Citigroup. He has over 16 years of experience in the investment industry. He has been mentor and professor to several students and professionals giving them financial & career guidance and mentorship. He has been a member with CFA Institute and the Company for more than 5 consecutive years.

Mr. Kapil Mehra is not in-eligible to be appointed as a Director in terms of Section 164 of the Act. A declaration to this effect and his consent to act as Director has been received from him. The Board recommends his appointment, if the Members deem fit.

Mr. Kapil Mehra is not related to any Director of the Company. None of the Directors or their relatives are interested or concerned, financially or otherwise, in the resolution set out at Item No. 3 except to the extent of their membership in the Company.

Item No. 4: Appointment of Ms. Meera Siva as Director

Ms. Meera Siva (aged 49 years) has been awarded CFA Charter (Membership No. 6108597) in 2015. She holds a degree of Masters in Computer Engineering and Bachelors in Electrical Engineering. Currently, she is working as a consultant at Habitat for Humanity International and is entitled with responsibility for funding and due diligence. She has a vast experience in diverse fields including technology, journalism and angel investing advisory the key persons to ideate and organise the marquee event services. She has been a member with CFA Institute and the Company for more than 5 consecutive years. She has been managing the Chennai chapter of the company since long. She is one of the key persons to ideate and organise the marquee event in Chennai called India Fixed Income Summit.

Ms. Meera Siva is not in-eligible to be appointed as a Director in terms of Section 164 of the Act. A declaration to this effect and her consent to act as Director has been received from her. The Board recommends her appointment, if the Members deem fit.

Ms. Meera Siva is not related to any Director of the Company. None of the Directors or their relatives are interested or concerned, financially or otherwise, in the resolution set out at Item No. 4 except to the extent of their membership in the Company.

Item No. 5: Appointment of Mr. Namdev Babu Chougule as Director

Mr. Namdev Babu Chougule (aged 44 years) was awarded CFA Charter (Membership No. 3047777) in 2008. He holds a degree of Masters in Management Studies and Bachelors in Engineering. He has been awarded the degree of Financial Risk Manager by the Global Association of Risk Professionals in the year 2007. Currently, he is working as a Deputy Vice-President at Federal Bank Limited and is entitled with responsibility for managing investments for the bank in the non-SLR segment. He has a vast experience of serving in Indian Financial Markets since 2001 in various industries such as broking, asset management, banking and NBFCs. He has been a member with CFA Institute and the Company for more than 5 consecutive years.

Mr. Namdev Babu Chougule is not in-eligible to be appointed as a Director in terms of Section 164 of the Act. A declaration to this effect and his consent to act as Director has been received from him. The Board recommends his appointment, if the Members deem fit.

Mr. Namdev Babu Chougule is not related to any Director of the Company. None of the Directors or their relatives are interested or concerned, financially or otherwise, in the resolution set out at Item No. 5 except to the extent of their membership in the Company.

Item No. 6: Appointment of Mr. Subrahmanyam Venkata Oruganti as Director

Mr. Subrahmanyam Venkata Oruganti (aged 38 years) has been awarded CFA Charter (Membership No. 2261451) in the year 2013. He also holds a degree of Masters in Business Administration and Bachelors in Engineering. Currently, he is working as a Partner at Ernst & Young and is entitled with responsibility of Risk advisory consulting across global and Indian financial institutions. He is a risk management specialist and has experience across Banks and Asset management firms. He has wide experience across Market risk, credit risk including AI/ML methodologies. He has been a member with CFA Institute and the Company for more than 5 consecutive years.

Mr. Subrahmanyam Venkata Oruganti is not in-eligible to be appointed as a Director in terms of Section 164 of the Act. A declaration to this effect and his consent to act as Director has been received from him. The Board recommends his appointment, if the Members deem fit.





AGM NOTICE

Mr. Subrahmanyam Venkata Oruganti is not related to any Director of the Company. None of the Directors or their relatives are interested or concerned, financially or otherwise, in the resolution set out at Item No. 6 except to the extent of their membership in the Company.

By Order of the Board of Directors
For **Indian Association of Investment Professionals**

--Sd--

Chetan Shah

Director

(DIN: 06381468)

Registered Office:

7th Floor, 702, A Wing, C/66,
G Block, One BKC, Opp. Bank of Baroda,
Bandra (East), Mumbai - 400051

Date: August 7th, 2020

Place: Mumbai

Annexure - 2 Procedure for remote e-voting, Attending the AGM and e-voting during the AGM

A. Procedure and instructions for remote e-voting:

- i. The voting period begins on September 1st, 2020 and ends on September 6th, 2020. The e-voting module shall be disabled for voting thereafter.
- ii. Voters should log on to the e-voting website www.evotingindia.com during the voting period.
- iii. Click on Shareholders/ Members.
- iv. Enter your User ID as sent by CDSL
- v. Next enter the Image Verification as displayed and Click on Login.
- vi. Enter your password as sent by CDSL
- vii. After entering these details appropriately, click on "SUBMIT" tab.
- viii. Select the EVSN of "**INDIAN ASSOCIATION OF INVESTMENT PROFESSIONALS**" on which you choose to vote.
- ix. On the voting page, you will see "**RESOLUTION DESCRIPTION**" and against the same the option "**YES/NO**" for voting. Select the option YES or NO as desired. The option **YES** implies that you assent to the Resolution and option **NO** implies that you dissent to the Resolution.
- x. Click on the "**RESOLUTIONS FILE LINK**" if you wish to view the entire Resolution details.

- xi. After selecting the resolution you have decided to vote on, click on "**SUBMIT**". A confirmation box will be displayed. If you wish to confirm your vote, click on "**OK**", else to change your vote, click on "**CANCEL**" and accordingly modify your vote.
- xii. Once you "**CONFIRM**" your vote on the resolution, you will not be allowed to modify your vote.
- xiii. You can also take out print of the voting done by you by clicking on "Click here to print" option on the Voting page.

B. Procedure and instructions for Members attending the AGM through VC / OAVM:

- i. Members will be provided with a facility to attend the AGM through VC/OAVM through the CDSL e-Voting system. Members may access the same at <https://www.evotingindia.com> under shareholders/members login by using the remote e-voting credentials. The link for VC/OAVM will be available in shareholder/members login where the EVSN of Company will be displayed.
- ii. Members are encouraged to join the Meeting through Laptops / IPads for better experience.
- iii. Further members will be required to allow Camera and use Internet with a good speed to avoid any disturbance during the meeting.
- iv. Please note that Participants Connecting from Mobile Devices or Tablets or through Laptop connecting via Mobile Hotspot may experience Audio/Video loss due to Fluctuation in their respective network. It is therefore recommended to use Stable Wi-Fi or LAN Connection to mitigate any kind of aforesaid glitches.
- v. Members who would like to express their views/ask questions during the AGM may indicate so in the form available at www.surveymonkey.com by 5:00 PM IST of August 23rd, 2020. These queries will be suitably replied either at the AGM or by email depending on the availability of time.
- vi. Those Members who opted to speak at the AGM will only be allowed to express their views/ask questions during the meeting.

C. Procedure and instructions for Members for e-voting during the AGM are as under:

- i. The procedure for e-Voting on the day of the AGM is same as the instructions mentioned above for Remote e-voting.
- ii. Only those members, who are present in the AGM





AGM NOTICE

vote on the Resolutions through remote e-Voting and are otherwise not barred from doing so, shall be eligible to vote through e-Voting system available during the EGM/AGM.

- iii. If any Votes are cast by the members through the e-voting available during the AGM and if the same members have not participated in the meeting through VC/OAVM facility, then the votes cast by such members shall be considered invalid as the facility of e-voting during the meeting is available only to the members attending the meeting.
- iv. Members who have voted through Remote e-Voting will be eligible to attend the AGM. However, they will not be eligible to vote at the AGM.
- v. If you have any queries or issues regarding attending AGM & e-Voting from the e-Voting System, you may refer the Frequently Asked Questions ("FAQs") and e-voting manual available at www.evotingindia.com, under help section or write an email to helpdesk.evoting@cdslindia.com or contact **Mr. Nitin Kunder (022- 23058738)** or **Mr. Mehboob Lakhani (022-23058543)** or **Mr. Rakesh Dalvi (022-23058542)**.
- vi. All grievances connected with the facility for voting by electronic means may be addressed to **Mr. Rakesh Dalvi, Manager**, (CDSL,) Central Depository Services (India) Limited, A Wing, 25th Floor, Marathon Futurex, Mafatlal Mill Compounds, N M Joshi Marg, Lower Parel (East), Mumbai - 400013 or send an email to helpdesk.evoting@cdslindia.com or call on 022-23058542/43.

By Order of the Board of Directors
For **Indian Association of Investment Professionals**

--Sd--

Chetan Shah

Director
(DIN: 06381468)

Registered Office:

7th Floor, 702, A Wing, C/66,
G Block, One BKC, Opp. Bank of Baroda,
Bandra (East), Mumbai - 400051

Date: August 7th, 2020

Place: Mumbai

